

Rev. 01/2023

**Office Use Only** - CID  
Number

Information provided on this form will be used to comply with tax-related regulatory requirements. **Dynamic Funds is required to collect the information on this form to be able to open or maintain a financial account for you.** Failure to complete and return this form may result in your accounts being reportable to the relevant tax authorities.

If you represent a business other than a sole proprietorship or an oral/informal trust, please fill out and submit a Tax Residency Self-Certification for Entities form.

The Canadian social insurance number (SIN) of the account holder only has to be reported on this form if the account holder has a SIN and is a U.S. person or a non-resident of Canada.

### A. Account Holder Identification

Name of individual (first, middle, and last name)	Birth date (mm/dd/yyyy)	Social insurance number
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Permanent residence address (number and street; apartment or suite number). Do not use P.O. box or in-care address

City	Province/State	Postal or ZIP code	Country (do not abbreviate)
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Mailing address (only if different from the permanent residence address)

City	Province/State	Postal or ZIP code	Country (do not abbreviate)
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### B. Declaration of tax residence

Tick (✓) all of the options that apply to you.

I am a tax resident of Canada.

I am a tax resident or a citizen of the United States. If you ticked this box, provide your taxpayer identification number (TIN) from the United States.

TIN from the United States

\_\_\_\_\_

If you do not have a TIN from the United States, have you applied for one?

Yes  No

I am a tax resident of a jurisdiction other than Canada or the United States.

If you ticked this box, give your jurisdictions of tax residence and TINs or functional equivalent

If you do not have a TIN or functional equivalent for a specific jurisdiction, give the reason using one of these choices:

Reason 1: I will apply or have applied for a TIN but have not yet received it

Reason 2: The jurisdiction of tax residence does not issue TINs to its residents

Reason 3: Other reason, please specify: \_\_\_\_\_

Jurisdiction of tax residence	Taxpayer identification number	Reason

## C. Certification and Undertaking

- I hereby certify that the information provided on this form is correct and complete.
- I authorize Dynamic Funds to provide, directly or indirectly, to any relevant tax authorities or any party authorized to audit or conduct a similar review of Dynamic Funds for tax purposes, the information contained in this form and/or a copy of this form and to disclose to such tax authorities or such party any additional information that Dynamic Funds may have in its possession that is relevant to my qualification claimed on the basis of this certification.
- I acknowledge that information contained in this form and information regarding my Dynamic Funds accounts (including information on account balances and payments received) may be reported to Canadian tax authorities, and that those tax authorities may provide the information to any additional country I have listed above as being a country in which I am a resident for tax purposes.
- I undertake to advise Dynamic Funds immediately of any change in circumstances that causes the information contained herein to become incorrect and to provide Dynamic Funds with an updated Tax Residency Self-Certification form within 30 days of such change in circumstances.
- I understand that it is my obligation to provide Dynamic Funds my TIN(s) at the time it is requested. I understand that my failure to provide my TIN(s) may result in my incurring regulatory fines, either directly or indirectly.

Signature

Print name of signatory

Date (dd/mm/yyyy)

Capacity in which signatory is acting (*if signatory is not the account holder*)

## Definitions and Codes

**Note:** You can find more complete definitions and codes on the websites maintained by:

- the IRS <https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca> (for information on FATCA);
- the OECD <https://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/#d.en.347760> (for information on CRS); and
- the CRA <https://www.canada.ca/en/revenue-agency/services/forms-publications/forms/rc518.html> (for information on the CRA RC form)